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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re United States Patent Application of:)	Docket No.:	4121-135
)		
Applicants:)	Conf. No.:	1053
)		
Application No.:)	Art Unit:	1644
)		
Date Filed:)	Examiner:	Chun Crowder
)		
Title:)	Customer No.:	
FV ANTIBODY CONSTRUCT)		
COMPRISING BINDING)		
SITES FOR A CD16)		
RECEPTOR AND A CD30)		
SURFACE PROTEIN)		

FACSIMILE TRANSMISSION CERTIFICATE

ATTN: Examiner Chun Crowder

Fax No. (571) 273-8300

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4 Pages

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Barbara Owens

Barbara Owens

December 14, 2006

Date _____

**SUBMISSION OF POWER OF ATTORNEY AND STATEMENT UNDER
37 CFR 3.73(b) FOR U.S. PATENT APPLICATION NO. 10/049,404**

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

ATTN: Examiner Chun Crowder

4121-135

Sir:

Enclosed and submitted herewith is a Power of Attorney to Prosecute Applications before the USPTO (Form PTO/SB/80), and a Statement Under 37 CFR 3.73(b) (Form PTO/SB/96) for the above-identified U.S. patent application.

Respectfully submitted,



Steven J. Hultquist
Reg. No. 28,021
Attorney for Applicants

INTELLECTUAL PROPERTY/
TECHNOLOGY LAW
Phone: (919) 419-9350
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Attorney File No.: 4121-135

Enclosures:

Power of Attorney to Prosecute Applications Before USPTO (Form PTO/SB/80) [1 pg.]
Statement under 37 CFR 3.73(b) (Form PTO/SB/96) [1 pg.]

<p>The USPTO is hereby authorized to charge any deficiency or credit any overpayment of fees properly payable for this document to Deposit Account No. 083284</p>

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PTO/SB/66 (09-04)

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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Arndt, et al.Application No./Patent No.: 10/049,404Filed/Issued Date: August 5, 2002Entitled: FV ANTIBODY CONSTRUCT COMPRISING BINDING SITES FOR A CD16 RECEPTOR AND A CD30 SURFACE PROTEINDeutsches Krebsforschungszentrum Stiftung des
Offentlichen Rechts, a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title, and interest.
The extent (by percentage) of its ownership interest is _____ %

In the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel/Frame 013155/0367, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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Reel/Frame _____, or for which a copy thereof is attached.☐ Additional documents in the chain of title are listed on a supplemental sheet.☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO.
See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature

December 14, 2006

Date

Steven J. Hultquist

Printed or Typed Name

(919) 419-9350

Telephone Number

Attorney for Applicants

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO

I hereby revoke all previous powers of attorney given in the application identified in the attached statement under 37 CFR 3.73(b).

I hereby appoint:

☒ Practitioners associated with the Customer Number: **23448**

AND

☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent application assigned only to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 CFR 3.73(b).

Please recognize or change the correspondence address for the application identified in the attached statement under 37 CFR 3.73(b) to:

☒ The address associated with Customer Number: **23448**

OR

☐ Firm or Individual Name

Address

City

Country

State

Zip

Telephone

Email

Assignee Name and Address:

Deutsches Krebsforschungszentrum Stiftung des Offentlichen Rechts
Im Neuenheimer Feld 280
Heidelberg, 69120

A copy of this form, together with a statement under 37 CFR 3.73(b) (Form PTO/SB/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73 (b) may be completed by one of the practitioners appointed in this form if the appointed practitioner is authorized to act on behalf of the assignee, and must identify the application in which this Power of Attorney is to be filed.

SIGNATURE of Assignee of Record

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee

Signature: *[Signature]* Date: *01.09.06*
Name: *Dr. Ruth Herzog* Telephone: *06221/422955*
Title and Company: *Deutsches Krebsforschungszentrum*

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ Total of _____ forms are submitted.

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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